

SUBJECT INDEX LOG

Subject Name

Serial

DOB

Ra

Sex

SSN#

Burt Kaplan

1

v

M

Burt Kaplan

12p3

v

M

1

v

M

12p3

v

M

12p3

v

M

b6  
b7c

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINYOO

PCN 951637694304

- FBI IDENTIFICATION RECORD -

NAME	FBI NO.	DATE REQUESTED
KAPLAN, BURTON	501194F	06/15/95

SEX	RACE	BIRTH DATE	HEIGHT	WEIGHT	EYES	HAIR	BIRTH PLACE
M	W	10/11/33	508	165	BRO	BRO	NEW YORK

FINGERPRINT CLASS

16 14 PO PO 18  
14 14 15 PI 18

1-DATE OF APPLICATION 03/17/52  
AGENCY-DIS NACC BALTIMORE (USDIS000Z)  
AGENCY CASE-NAVY  
CIVIL PRINT-NON-ARREST ENTRY 12/05/86

2-ARRESTED OR RECEIVED 03/07/66W(PRT REC)  
AGENCY-FBI NEW YORK (NYFBINYOO)  
AGENCY CASE-15-38097  
SUSPECT

3-ARRESTED OR RECEIVED 07/14/93A  
AGENCY-FBI NEW YORK (NYFBINYOO)  
AGENCY CASE-NY87086783  
RETURN ARREST PRINT-06/15/95

\*\*\*\*\*THIS PAGE SHOULD NOT BE DISSEMINATED OUTSIDE THE FBI\*\*\*\*\*  
END OF BUREAU SHEET

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINYOO

PCN 951637694304

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UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINYOO  
PART 5

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

CHARGE-FRAUD PASSPORTS 1543-USMS #13103 053  
SENTENCE-  
DISMISSED

RECORD UPDATED 06/15/95

ALL ARREST ENTRIES CONTAINED IN THIS FBI RECORD ARE BASED ON  
FINGERPRINT COMPARISONS AND PERTAIN TO THE SAME INDIVIDUAL.

THE USE OF THIS RECORD IS REGULATED BY LAW. IT IS PROVIDED FOR  
OFFICIAL USE ONLY AND MAY BE USED ONLY FOR THE PURPOSE REQUESTED.

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINYOO  
PART 4

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

SENTENCE- BY CONFINEMENT  
CNTS FOR DISPO&SENT UNK-IMPRISON-R AD-5 YEARS PROB COMM FR EASTERN  
D N Y

11-ARRESTED OR RECEIVED 04/24/85  
AGENCY-FBI NEW YORK (NYFBINYOO)

CHARGE 1-CONSPIRACY DIST HEROIN

COURT-

DISPOSITION-  
CHARGE-CONSPIRACY DIST HEROIN  
SENTENCE-  
RELEASED

SUPPLEMENTAL COURT DATA-

COURT-  
05/20/85 DISPOSITION-  
CHARGE-CONSPIRACY DIST HEROIN  
SENTENCE-  
DISMISSED

12-ARRESTED OR RECEIVED 07/14/93  
AGENCY-FBI NEW YORK (NYFBINYOO)  
AGENCY CASE-NY87086783  
CHARGE 1-T18 USC 371 CONSPIRACY  
CHARGE 2-FRAUD PASSPORTS 1543-USMS #13103 053

COURT-

DISPOSITION-  
CHARGE-T18 USC 371 CONSPIRACY  
SENTENCE-  
DISMISSED

DISPOSITION-

END OF PART 4 - PART 5 TO FOLLOW

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINYOO  
PART 3

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

04/05/74 DISPOSITION-  
CHARGE-CONSP-POSS GOODS STLN FROM INTERSTATE SHIPMENT  
SENTENCE-  
4YRS PAR PAR FROM CTC NY NY

8-ARRESTED OR RECEIVED 02/04/80  
AGENCY-DEA NEW YORK (NYDEA0300)  
AGENCY CASE-C1-80-0033  
CHARGE 1-CONSPIRACY  
CHARGE 2-QUAALUDE

9-ARRESTED OR RECEIVED 02/04/80A  
AGENCY-USM BROOKLYN (NYUSH0200)  
AGENCY CASE-SM13103053  
CHARGE 1-VIOLATION OF NARCOTICS LAWS

COURT-  
02/04/80 DISPOSITION-  
CHARGE-VIOLATION OF NARCOTICS LAWS  
SENTENCE-  
36 MOS PRISON FINE \$2,500 REL \$25 PRB

10-ARRESTED OR RECEIVED 02/19/81  
AGENCY-ALLENWD FED PRIS CMP MONTGOMERY (PA041017C)  
AGENCY CASE-13103-053  
CHARGE 1-CONSPIRACY  
CHARGE 2-POSS OF DEVICES USED MANUF METH SCH II C S

COURT-  
DISPOSITION-  
CHARGE-CONSPIRACY  
  
DISPOSITION-  
CHARGE-POSS OF DEVICES USED MANUF METH SCH II C S

END OF PART 3 - PART 4 TO FOLLOW

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINYOO  
PART 2

PCN 951637694304

- FBI IDENTIFICATION RECORD - FBI NO-501194F

ISS 2 YRS PROB & \$500 F 2YRS PROB

3-ARRESTED OR RECEIVED 09/27/66  
AGENCY-POLICE DEPARTMENT NEW YORK (NY030300)  
AGENCY CASE-489090  
CHARGE 1-FEL CRSP CRIM REC

4-ARRESTED OR RECEIVED 09/07/72  
AGENCY-USM BROOKLYN (NYUSM0200)  
AGENCY CASE-322USM2262  
CHARGE 1-THEFT FROM FOREIGN SHIPMENT

5-ARRESTED OR RECEIVED 09/07/72S(DATE FP)  
AGENCY-FBI NEW YORK (NYFBINYOO)  
AGENCY CASE-1562628  
CHARGE 1-TFIS

COURT-

12/08/72 DISPOSITION-  
CHARGE-CONSPIRACY TFIS  
SENTENCE-  
PG SENT 4YRS CUSTODY OF ATTY GEN

6-ARRESTED OR RECEIVED 02/09/73  
AGENCY-FED METRO CORR CNTR NEW YORK (NY030037C)  
AGENCY CASE-77715158  
CHARGE 1-POSS STLN I/S SHIPMENT

7-ARRESTED OR RECEIVED 02/15/73  
AGENCY-US PENITENTIARY LEWISBURG (PA060017C)  
AGENCY CASE-77715-158  
CHARGE 1-CONSP-POSS GOODS STLN FROM INTERSTATE SHIPMENT

COURT--

END OF PART 2 - PART 3 TO FOLLOW

UNITED STATES DEPARTMENT OF JUSTICE  
FEDERAL BUREAU OF INVESTIGATION  
DIVISION 1  
WASHINGTON, D.C. 20537

NYFBINYOO

PCN 951637694304

BECAUSE ADDITIONS OR DELETIONS MAY BE MADE AT ANY TIME, A NEW COPY  
SHOULD BE REQUESTED WHEN NEEDED FOR SUBSEQUENT USE.

- FBI IDENTIFICATION RECORD - FBI NO-501194F

WHEN EXPLANATION OF A CHARGE OR DISPOSITION IS NEEDED, COMMUNICATE  
DIRECTLY WITH THE AGENCY THAT FURNISHED THE DATA TO THE FBI.

NAME	FBI NO.	DATE REQUESTED
KAPLAN, BURTON	501194F	06/15/95

SEX	RACE	BIRTH DATE	HEIGHT	WEIGHT	EYES	HAIR	BIRTH PLACE
M	W	10/11/33	508	165	BRO	BRO	NEW YORK

FINGERPRINT CLASS

16 14 PO PO 18

14 14 15 PI 18

1-ARRESTED OR RECEIVED 06/24/61

AGENCY-POLICE DEPARTMENT NEW YORK (NY0303000)

AGENCY CASE-B489090

CHARGE 1-986 PL

COURT-

'07/12/61 DISPOSITION-ACQUITTED-  
CHARGE-986 PL

2-ARRESTED OR RECEIVED 01/17/66

AGENCY-USM BROOKLYN (NYUSM0200)

AGENCY CASE-4723717

CHARGE 1-INTERSTATE T-POSS

COURT-

09/27/68 DISPOSITION-  
CHARGE-TFIS  
SENTENCE-

END OF PART 1 - PART 2 TO FOLLOW

87D-86783 SUB E-51

Continuation of FD-302 of [redacted], On 10/7/93, Page 3 b6  
b7C  
b7D

[redacted] advised that [redacted]  
[redacted]

87D-86783 SUB E-51

Continuation of FD-302 of [redacted]

, On 10/7/93

, Page 2

b6  
b7C  
b7D

[redacted]

At this point in the interview, reporting Agent asked

[redacted]

[redacted] indicated that [redacted]

[redacted]

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription 11/16/93

[redacted] under conditions of a proffer agreement with the United States Attorney's Office, Southern District of New York, consented to an interview, limited in scope, in the presence of reporting Agent, Assistant United States Attorney (AUSA) [redacted] and [redacted]  
[redacted]  
[redacted]  
[redacted]

b6  
b7C  
b7D[redacted] advised that [redacted]  
[redacted][redacted] advised that [redacted]  
[redacted]

Investigation on 10/7/93 at New York, New York File # 2AB-NY-259369-E51-161  
by SA [redacted] axh Date dictated 10/14/93

b6  
b7C

87D-NY-86783 SUB E-51

Continuation of FD-302 of Confidential Witness (CW), On 7/7/93, Page 2

KAPLAN [REDACTED]

suggested to [REDACTED]  
[REDACTED]

b6  
b7C  
b7D

CW provided reporting Agent with details as to the [REDACTED]

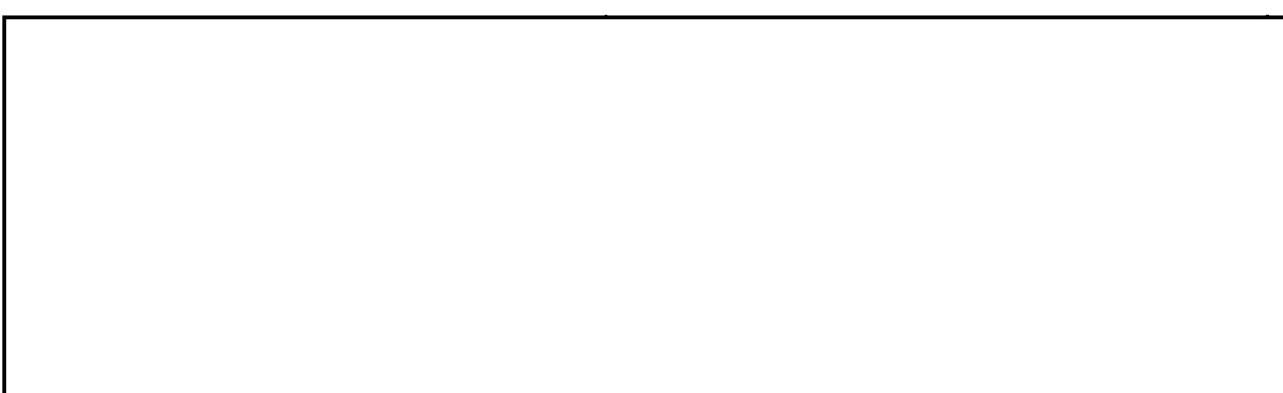
- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription

7/14/93

A Confidential Witness (CW), provided the following information concerning BURT KAPLAN and a purchase of fraudulent passports [redacted]

b6  
b7C  
b7DCW advised [redacted] KAPLAN [redacted]  
[redacted] KAPLAN [redacted]  


29B-NY-259359-E51-155

SEP 14 1993

FBI - NEW YORK

Investigation on 7/7/93 at New York, New York File # 87D-NY-86783 SUB E-51by SA [redacted] jxs Date dictated 7/15/93b6  
b7C

**STATISTICAL ACCOMPLISHMENTS**  
**Criminal Informant/Cooperative Witness (CI/CW)**

**1. Number of Subjects Arrested:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**2. Number of Subjects/Victims Identified and/or Located:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**3. Number of Investigative Matters Initiated:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**4. Number of Disseminations Based Upon CI/CW Information:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**5. Number of Violent Acts Prevented:**

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**6. Number of Times CI/CW Information Used in Title III Affidavits:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**7. Number of Times CI/CW Information Used in Search Warrant Affidavits:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**8. Number of Times CI/CW Information Used in Obtaining Complaint/Information/Indictment:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**9. Merchandise Recovered (Value):**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

---

---

---

**10. Asset/Property Seized (Value at Time of Seizure):**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

---

---

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**11. Monetary Value of Asset/Property Actually Forfeited to Government:** \$ \_\_\_\_\_

**12. Number of Convictions Obtained as a Result of Information Furnished by CI/CW or as a Result of other Significant Operational Assistance Furnished:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

---

---

---

**13. Number of Times Undercover Agent or Other Law Enforcement Officer Introduced into an Investigative Matter by CI/CW:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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**14. Drugs Recovered (Wholesale Value):**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

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---

---

**15. Number of Consensually Monitored Conversations CI/CW participated in:**

- a. FBI
- b. Other Federal Agencies
- c. State and Local Agencies

---

---

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## Memorandum



To : SAC NEW YORK [redacted]

Date 9/7/93

From : SA [redacted] (C-3)

Subject: [redacted]

b2  
b6  
b7C  
b7D

## Dates of Contact

7/7/93

File #s on which contacted (Use Titles if File #s not available)

87D-86783 SUB E-51

## Purpose and results of contact

- NEGATIVE  
 POSITIVE  
 STATISTIC

Description of  
Statistical Accomplishment

SEE ATTACHED:

Title of Case

File No.

29B-NY-259359-E51-155  
~~87D-86783 SUB E-51~~ -146

SEARCHED	INDEXED
SERIALIZED	FILED
SEP 14 1993	
FBI - NEW YORK	

Information herein obtained confidentially; informant's name is not to be disclosed in a report or otherwise unless it has been decided definitely that this person is to be a witness in a trial or hearing.

## PERSONAL DATA

1 - [redacted] (ADM)  
 1 - [redacted] (INV)

1 - CIMS  
 1 - 87D-86783 SUB E-51

MPK:jxs  
 (4)

<sup>^</sup>PAGE 3 NEW YORK 87D-86783

UNCLAS

BUREAU, 12 HARBOUR ROAD, WANCHAI, HONG KONG, POSSIBLE

TELEPHONE [REDACTED]

CONFIRM CCB EXECUTION OF SEARCH WARRANT ON NOVEMBER 8,  
1991 AT [REDACTED]

[REDACTED] ASCERTAIN FACTS  
SURROUNDING THEIR INVESTIGATION OF [REDACTED] RELATIVE TO SUSPECTED  
FORGED TRAVEL DOCUMENTS (PASSPORTS) AND RESULTS OF THEIR  
INVESTIGATION.

BT

b6  
b7C

^PAGE 2 NEW YORK 87D-86783

UNCLAS

[redacted]

b7D

[redacted] KAPLAN PURCHASED SEVERAL BRAZILIAN AND PERUVIAN  
PASSPORTS FOR [redacted] WHO ALLEGEDLY REPRESENTED OVER 100,000  
HONG KONG RESIDENTS. DEFENSE HAS CONCEDED THAT [redacted] WAS SUBJECT  
OF COMMERCIAL CRIME BUREAU (CCB) SEARCH EXECUTED NOVEMBER 8,  
1991 BY DETECTIVE INSPECTOR [redacted] AND THAT CCB SEIZED  
ONE PERU PASSPORT (POSSIBLY SUPPLIED BY KAPLAN). DEFENSE  
STATED THAT HONG KONG AUTHORITIES FOUND NO WRONG DOING DURING  
THEIR INVESTIGATION *and closed matter with no prosecution*.

b6  
b7C

AUSA [redacted] SOUTHERN DISTRICT OF NEW YORK, REQUESTS  
EXPEDITIOUS CONTACT WITH HONG KONG AUTHORITIES TO DETERMINE  
NATURE OF THEIR INQUIRY AS IT MAY HAVE SUBSTANTIAL IMPACT ON  
NEW YORK CASE. DUE TO TIME CONSTRAINTS FOR CONTINUED  
PROSECUTIVE ACTION, RESPONSE IS REQUESTED NO LATER THAN  
SEPTEMBER 20, 1993.

LEADS:

LEGAT HONG KONG:

LEGAT HONG KONG REQUESTED TO CONTACT DETECTIVE INSPECTOR

[redacted] OR SENIOR INSPECTOR [redacted] COMMERCIAL CRIME

FBI

TRANSMIT VIA:

Teletype  
 Facsimile  
 AIRTEL

PRECEDENCE:

Immediate  
 Priority  
 Routine

CLASSIFICATION:

TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 9/3/93

FM FBI NEW YORK (NY 87D-86783) (SUB E-51) (P) (C-3)

TO DIRECTOR FBI/ROUTINE/

LEGAT HONG KONG/ROUTINE/

BT

UNCLAS

CITE: //3540//

PASS: HQ FOR OLIA.

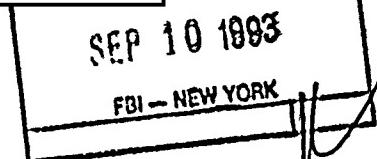
SUBJECT: SOFT DOLLARS; ITSP; OO:NY (SUB E-51).

FOR INFORMATION OF LEGAT HONG KONG, CAPTIONED MATTER IS LONG TERM GROUP I UCO; SUBFILE E-51 IS REGARDING THE PROSECUTION OF SUBJECT BURT KAPLAN AND OTHERS INVOLVED IN CONSPIRACY TO TRAFFIC IN FRAUDULENT PASSPORTS. KAPLAN, ASSOCIATE OF ORGANIZED CRIME, WAS ARRESTED BY NYO ON JULY 14, 1993, ON THOSE CHARGES. [REDACTED]

1993, ON THOSE CHARGES. [REDACTED]

202-NY-160360-E51-154

1-NY  
 1-Supv. C-3  
 NUPK: KAR007W.046

Approved: [Signature]Original filename: KAR007W.046Time Received: Telprep filename: KAR007SO.046MRI/JULIAN DATE: 1471/250ISN: 029FOX DATE & TIME OF ACCEPTANCE: 2318b6  
b7C  
b7D

SENSITIVE

KAPLAN, BURT

NO: 001  
REC-NO: 001

DDN:

TRUE:

FO: NY CASE NO: 087D-0086783-E51  
NAME TYPE: MAIN REF: M EVENT DATE:  
OO: OO CASE NO:  
VIOLATION: ITSP  
SERIALS: 12P3;14;126P2

INDEXED: 101891  
MODIFIED: 032993  
SPECIAL:

RACE: U SEX: M

ID-NO:

POB:

CDQB: STREET-NO:

NAME:

CITY: NEW YORK

ST: NY

COUNTRY: NY

ZIP:

LOC: NY

MISCELLANEOUS: SQD/C3

CLASSIFICATION LEVEL: SN

CLASSIFIED BY:

DECLASSIFY ON:

<GO> -NEXT INDEX

F7 -ADD ALIAS

F1 -REQUERY

F8 -DELETE

F10 -INDEX

SHIFT-F1 -CASE INFO F3 -SUMMARY F6 -ADD INDEX

F9 -MODIFY SHIFT-F10 -FOIMS

NUM ↑

- 32

4B#

2

0

## Supplemental Page to the Accomplishment Report (FD-515) for Organized Crime Program (OCP) Matters Only

This supplemental page is required with the FD-515 reporting an indictment and/or conviction of a subject of an OCP case. A separate page is required for each subject indicted and/or convicted. The completion of Section A - D is mandatory. The other sections should be completed when applicable.

**BURTON KAPLAN**

1. Name of Subject BURTON KAPLAN 2. Field Office NY 3. Field Office File No. N.Y.87D-86783 (SUB E-51)

4. Criminal Activity - Indicate the primary criminal activity which resulted in the reported Indictment and/or conviction. (Indicate only one activity.)

- Labor Racketeering (LRK) (See Section E and G if applicable)
- Corruption (COR) (See Section F if applicable)
- Illegal Gambling (IGM)
- Other (OT), specify FRAUDULENT PASSPORTS
- Extortion (EXT)
- Loansharking (LNS)
- Drugs (DRS)

5. Organized Criminal Group

- |                             |   |   |
|-----------------------------|---|---|
| 1. LCN:                     | <input type="checkbox"/> Member (MEM)                 | <input checked="" type="checkbox"/> Associate (ASO) |
| <input type="checkbox"/> BU | <input type="checkbox"/> KC                           | <input type="checkbox"/> NO                         |
| <input type="checkbox"/> CG | <input type="checkbox"/> LA                           | <input type="checkbox"/> NY - Bonanno (BO)          |
| <input type="checkbox"/> CV | <input type="checkbox"/> MI                           | <input type="checkbox"/> NY - Colombo (CO)          |
| <input type="checkbox"/> DN | <input type="checkbox"/> NK - De Calvalcante          | <input type="checkbox"/> NY - Gambino (GA)          |
| <input type="checkbox"/> DE | <input type="checkbox"/> NE - New England - Patriarca | <input type="checkbox"/> NY - Genovese (GE)         |

- |  |   |
|--|---|
| <input type="checkbox"/> NY - Luchese (LU)       | <input type="checkbox"/> RC - Rochester |
| <input type="checkbox"/> PH                      | <input type="checkbox"/> SF             |
| <input type="checkbox"/> PX                      | <input type="checkbox"/> SO - San Jose  |
| <input type="checkbox"/> PG                      | <input type="checkbox"/> SL             |
| <input type="checkbox"/> PI - Pittson - Bufalino | <input type="checkbox"/> TP             |

Position:

- Boss
- Underboss (UBS)
- Consigliere (CNS)
- Acting Boss (ABS)
- Capo (CPO)
- Soldier (SOL)

2. Other Non-LCN OC Groups, specify \_\_\_\_\_

- Member (MEM)
- Associate (ASO)

3. Business Influenced/Affected (if applicable) Indicate below if the subject's criminal activity influenced or affected a particular trade or industry:

- Toxic Waste (TW)
- Carting (CR)
- Vending (VN)
- Other (OT) Specify \_\_\_\_\_
- Building Trades (BT)
- Meat/Poultry/Fish (MT)
- Shipping (SH)

- Entertainment (ET)
- Garment (GR)
- Trucking/Trans (TT)

- Hotel/Restaurant (HR)

Name of company subject connected with \_\_\_\_\_

4. Elected/Appointed Public Officials - Complete if subject was a public official at time of indictment and/or conviction. Indicate one from each category.

Level -  Federal (FD)  State (ST)  Local (LO)

Branch -  Executive (EX)  Legislative (LE)  Judicial (JD)

Position/Title:

- |  |   |   |   |   |
|--|---|---|---|---|
| <input type="checkbox"/> Governor (GV)             | <input type="checkbox"/> Mayor (MY)       | <input type="checkbox"/> City               | <input type="checkbox"/> House of Rep/ Staff (HR) | <input type="checkbox"/> Prosecutor (PR)              |
| <input type="checkbox"/> Lt. Governor (LG)         | <input type="checkbox"/> County Comm (CC) | <input type="checkbox"/> Senator/Staff (SE) | <input type="checkbox"/> Judge/Magistrate (JM)    | <input type="checkbox"/> Law Enforcement Officer (LE) |
| <input type="checkbox"/> Other (OT), specify _____ |   |   |   |   |

G. Union Members or Officials - If the subject was a Union member or official at the time of indictment and/or conviction, indicate the highest position the subject held/holds in the Union and the Union's name.

Name of Union \_\_\_\_\_

Union Affiliation:

- |   |  |   |  |
|---|--|---|--|
| <input type="checkbox"/> Teamsters            | <input type="checkbox"/> Hotel and Restaurant Employee | <input type="checkbox"/> Laborers International | <input type="checkbox"/> Longshoremans Association |
| <input type="checkbox"/> Other, specify _____ |  |   |  |

Level -  International  Conference  Council  Local - Local No. \_\_\_\_\_

Position:

- |  |   |                                       |                                       |   |                                       |
|--|---|---------------------------------------|---------------------------------------|---|---------------------------------------|
| <input type="checkbox"/> Pres (PR)                 | <input type="checkbox"/> Sec/Treas (ST)   | <input type="checkbox"/> Repr (RP)    | <input type="checkbox"/> Fin Sec (FS) | <input type="checkbox"/> Clerk (CL)     | <input type="checkbox"/> Trustee (TT) |
| <input type="checkbox"/> Vice Pres (VP)            | <input type="checkbox"/> Ex Brd Memb (EB) | <input type="checkbox"/> Orgzr (OR)   | <input type="checkbox"/> Rec Sec (RS) | <input type="checkbox"/> Shop Stew (SS) | <input type="checkbox"/> Mem b (ME)   |
| <input type="checkbox"/> Tres (TR)                 | <input type="checkbox"/> Bus Agt (BA)     | <input type="checkbox"/> Bus Mgr (BM) | <input type="checkbox"/> Off Mgr (OM) |   |                                       |
| <input type="checkbox"/> Other (OT), specify _____ |   |                                       |                                       |   |                                       |

**Accomplishment Report**  
(Submit within 30 days from date of accomplishment)

Report Date: 8/13/93From: SAC, II New York

Does Accomplishment Involve:  
(check all that apply)

Drugs	<input type="checkbox"/>
A Fugitive	<input type="checkbox"/>
Bankruptcy Fraud	<input type="checkbox"/>
Computer Fraud/Abuse	<input type="checkbox"/>
Corruption of Public Officials	<input type="checkbox"/>
Forfeiture Assets	<input type="checkbox"/>

File Number	
<u>NY 870-86783</u>	
(Sub E-51)	
Stat Agent Soc Sec No.	

RA	Squad
	<u>C-3</u>

Task Force	
------------	--

Assisting Joint Agencies X	
1.	
2.	

Assisting Agents Soc. Sec. No. X

1. - -

2. - -

Squad Supervisor approval (please initial)

Accomplishment type *	Accomplishment Date	Serial No. of FD-515
<u>ARREST</u>	<u>7-14-93</u>	

## A. Complaint / Information / Indictment

Also Complete Section I

Serial number \_\_\_\_\_

Check if Civil / Rico Complaint 

## B. Arrest / Locate / Summons

Arrest

 Federal  LocalSubject Priority:  A  B  C Subject Resisted Subject was Armed

Locate

Subject Priority:  A  B  C

Summons

 Federal  Local

## C. Hostage(s) Released

Released by:  Terrorists  Other

Number of Hostages: \_\_\_\_\_

## D. Recovery / Restitution / Potential Economic Loss Prevented (PELP) X

## Also Complete Section I

## Recovery

 Federal  Local

## Restitution

 Court Ordered  
 Pretrial Agreement

Code \* Amount Code \* Amount

\$		\$	
\$		\$	
\$		\$	

## E. Civil / Rico Matters

## Also Complete Section I

Date of Complaint: \_\_\_\_/\_\_\_\_/\_\_\_\_

Judgment \_\_\_\_\_

Judicial Outcome \_\_\_\_\_ X

Fines: \$ \_\_\_\_\_

Suspension: 

Years	Months

## F. AFA Payment

Amount: \$ \_\_\_\_\_

## G. Administrative Sanctions

Type:

 Suspension  
 Debarment  
 Injunction

Length:

 Permanent  
 Years Months

Subject Description Code: \_\_\_\_\_

## H. Final Judicial Process

## Conviction

Also Complete Section I

Date of Complaint, Information or Indictment: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Conviction: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Sentence: \_\_\_\_/\_\_\_\_/\_\_\_\_

Subject Description Code: \_\_\_\_\_

Judicial State: \_\_\_\_\_ District: \_\_\_\_\_

Sentence type: \_\_\_\_\_ X

Sentence Term: \_\_\_\_\_

In-Jail Years Months	Suspended Years Months	Probation Years Months

Fines: \$ \_\_\_\_\_

 Federal  
 Local Felony  
 Misdemeanor Plea  
 Trial

## I. U.S. Code Violations

Title	Section	Counts
18	1543	1

Title	Section	Counts
29 R	NY-259359-E51	1

Required for Sections A, E, and H (Conviction)  
Optional for Section B (arrest)INDEXED Q1 FILED Q1

## Acquittal / Dismissal / Pretrial Diversion

Date of Indictment or Information: 7/15/93 7/17/93

Subject Description Code: \_\_\_\_\_

FBI — NEW YORK M

## J. Subject Information (Required for Sections A, B, D (Restitution), E, F, G, and H)

Name	Date of Birth	Race	Sex	Place of Birth (if available)	Social Security No. (if available)
<u>BURTON KAPLAN</u>	<u>10/11/33</u>	<u>W</u>	<u>M</u>	<u>BROOKLYN, NY</u>	<u>131-26-1015</u>

 Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (If checked, also submit form FD-515a)

\* Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

\*\* Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)  
See codes on reverse side. NY 870-86783 (Sub E-51) NY 870-86783 (Sub I) I-66-8492 1-Cup C-3b6  
b7C  
b7E

PAGE THREE DE FBIN 0004 UNCLAS

CRIMINAL HISTORY: EXTENSIVE, FROM 1961 THROUGH 1985,  
INCLUDING THEFT FROM INTERSTATE  
SHIPMENT AND NARCOTICS DISTRIBUTION.

OCCUPATION: SELF-EMPLOYED, CLOTHING IMPORTER-EXPORTER.

BT

00004

NNNN

PAGE TWO DE FBINY 0004 UNCLAS

UNDERCOVER OPERATION WHICH WAS PREDICATED UPON INFORMATION RECEIVED FROM A COOPERATING WITNESS, RESULTING IN FIVE DEFENDANT GUILTY PLEAS.

SUBJECT KAPLAN IS A KNOWN ASSOCIATE OF NEW YORK ORGANIZED CRIME FAMILIES AND WAS INVOLVED IN THE PURCHASE AND SALE OF NUMEROUS FRAUDULENTLY OBTAINED PASSPORTS.

DESCRIPTIVE DATA AS FOLLOWS:

NAME: BURTON KAPLAN

ALIAS: BURT KAPLAN

SEX: MALE

RACE: WHITE

DOB: OCTOBER 11, 1933

POB: BROOKLYN, NEW YORK

SSAN: 131-26-1015

ADDRESS: 2147 85TH STREET, BROOKLYN, NEW YORK

HEIGHT: 5'8"

WEIGHT: 160 LBS.

EYES: BROWN

HAIR: BROWN

BUILD: MEDIUM

SCARS: RIGHT FOREARM AND ABDOMEN

0056 MRI 00962

RR-FBINY

DE FBINY #0004 2021536

ZNR UUUUU

R 211525Z JUL 93

FM FBI NEW YORK (870-86783) (SUB E-51) (P) (C-3)

TO DIRECTOR FBI/ROUTINE/

BT

UNCLAS

CITE: 7/3540/7

PASS: HQ FOR ECONOMIC CRIMES UNIT, SSA [REDACTED]

b6  
b7c

SUBJECT: SOFT DOLLARS; ITSP; OO:NY.

FOR INFORMATION OF THE BUREAU, SUBJECT BURTON KAPLAN WAS ARRESTED WITHOUT INCIDENT BY BUREAU AGENTS, NYO, ON JULY 14, 1993 ON A BENCH WARRANT PURSUANT TO A ONE COUNT CRIMINAL INDICTMENT FOR VIOLATION OF TITLE 18, USC, SECTION 371 (CONSPIRACY) TO VIOLATE TITLE 18, USC, SECTION 1543 (TRAFFICKING IN FRAUDULENT PASSPORTS), WITHIN THE SOUTHERN DISTRICT OF NEW YORK.

THIS INDICTMENT EMANATED FROM CAPTIONED GROUP I

29B-NY-259359-150  
870 86783 sub 551-141

SEARCHED INDEXED SERIALIZED FILED 07/22/93

JUL 22 1993

FBI - NEW YORK

**^PAGE 3 NEW YORK 87D-86783 SUB E-51**

**UNCLAS**

**CRIMINAL HISTORY: EXTENSIVE, FROM 1961 THROUGH 1985,  
INCLUDING THEFT FROM INTERSTATE  
SHIPMENT AND NARCOTICS DISTRIBUTION.**

**OCCUPATION: SELF-EMPLOYED, CLOTHING IMPORTER-EXPORTER.**

**BT**

^PAGE 2 NEW YORK 87D-86783 SUB E-51

UNCLAS

RECEIVED FROM A COOPERATING WITNESS, RESULTING IN FIVE  
DEFENDANT GUILTY PLEAS.

SUBJECT KAPLAN IS A KNOWN ASSOCIATE OF NEW YORK ORGANIZED  
CRIME FAMILIES AND WAS INVOLVED IN THE PURCHASE AND SALE OF  
NUMEROUS FRAUDULENTLY OBTAINED PASSPORTS.

DESCRIPTIVE DATA AS FOLLOWS:

NAME: BURTON KAPLAN  
ALIAS: BURT KAPLAN  
SEX: MALE  
RACE: WHITE  
DOB: OCTOBER 11, 1933  
POB: BROOKLYN, NEW YORK  
SSAN: 131-26-1015  
ADDRESS: 2147 85TH STREET, BROOKLYN, NEW YORK  
HEIGHT: 5'8"  
WEIGHT: 160 LBS.  
EYES: BROWN  
HAIR: BROWN  
BUILD: MEDIUM  
SCARS: RIGHT FOREARM AND ABDOMEN

FBI

## TRANSMIT VIA:

- Teletype  
 Facsimile  
 AIRTEL

## PRECEDENCE:

- Immediate  
 Priority  
 Routine

## CLASSIFICATION:

- TOP SECRET  
 SECRET  
 CONFIDENTIAL  
 UNCLAS E F T O  
 UNCLAS

Date 7/19/93

FM FBI NEW YORK (87D-86783) (SUB E-51) (P) (C-3)

TO DIRECTOR FBI/ROUTINE/

BT

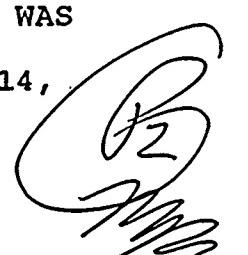
UNCLAS

CITE: //3540//

PASS: HQ FOR ECONOMIC CRIMES UNIT, SSA b6  
b7c

SUBJECT: SOFT DOLLARS; ITSP; OO:NY.

FOR INFORMATION OF THE BUREAU, SUBJECT BURTON KAPLAN WAS ARRESTED WITHOUT INCIDENT BY BUREAU AGENTS, NYO, ON JULY 14, 1993 ON A BENCH WARRANT PURSUANT TO A ONE COUNT CRIMINAL INDICTMENT FOR VIOLATION OF TITLE 18, USC, SECTION 371 (CONSPIRACY) TO VIOLATE TITLE 18, USC, SECTION 1543 (TRAFFICKING IN FRAUDULENT PASSPORTS), WITHIN THE SOUTHERN DISTRICT OF NEW YORK.



THIS INDICTMENT EMANATED FROM CAPTIONED GROUP I  
29B-NY-259359-E51-150  
 UNDERCOVER OPERATION WHICH WAS PREDICATED UPON INFORMATION

1-NY

1-SUPV. C-3

MPK: KAU009W JDD

Approved: JMK

Original filename:

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 22 1993	
FBI - NEW YORK	

Time Received: \_\_\_\_\_

Telprep filename: KAU009W.ZIPMRI/JULIAN DATE: 962/200ISBN: 004

FOX DATE &amp; TIME OF ACCEPTANCE:

1526

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription

7/19/93

At the time of his arrest in the driveway of his residence at 2147 85th Street, Brooklyn, New York, BURTON KAPLAN was carrying in his hands a black briefcase and a group of checks with a deposit slip.

The briefcase was seized from KAPLAN, and its contents were searched pursuant to KAPLAN's arrest. At the time the briefcase was seized from KAPLAN, it was unlocked.

A review of the contents of the briefcase disclosed that it contained numerous documentary items, including a telephone address book, and various sheets of paper. In addition, the briefcase contained a portable telephone.

The telephone/address book, the deposit slip and related checks and the numerous slips of paper in the briefcase were copied.

In addition, at the time of his arrest, KAPLAN was carrying a wallet. The contents of the wallet were also copied.

29B-NY-259359-E51-149  
87D-86783-508 E-57-140

Investigation on 7/14/93 at Brooklyn, New York File # 87D-86783-508 E-57-140by SAijkl

Date dictated

7/14/93

b6

b7C

NY 87D-86783 Sub E-51

Continuation of FD-302 of BURTON KAPLAN, On 7/14/93 , Page 3

Build: Medium  
Scars: On right forearm and abdomen  
Social Security Account Number: 131-26-1015  
Employment: Self employed PISCES  
TRADING  
1501 Broadway  
New York, New York (since  
1985)  
Warehouse address:  
128 Port Richmond Avenue  
Staten Island, New York  
Clothing importer/exporter/  
wholesaler  
501194F  
595 Vanderbilt Avenue  
Brooklyn, New York

Occupation:

FBI#: 501194F

Former Address:

Wife: [redacted]

Mother: [redacted]

Automobiles:

1992 Buick, New York license  
plate 3HS777 [redacted]  
[redacted]

Criminal History:

Various, including a theft  
from interstate shipment and  
narcotics charges.

b6  
b7C

NY 87D-86783 Sub E-51

Continuation of FD-302 of BURTON KAPLAN, On 7/14/93 , Page 2

[redacted] advised that he is reporting Agent's supervisor. KAPLAN indicated that he and [redacted] go way back, KAPLAN having been initially arrested by [redacted] for a theft from interstate shipment case. KAPLAN stated that he wished a favor, in that next time this occasion should arise, tell [redacted] to call his lawyer or just ring the doorbell and he would come out without hesitation, although not with his briefcase. KAPLAN stated that was probably why arresting Agents waited for him to leave his house. KAPLAN indicated that there were 9,000 documents to show that the passport deal is legitimate. He stated that [redacted] knows these passports are legitimate and he has tape recorded conversations with [redacted]. KAPLAN further stated that the case against [redacted] was also "bullshit", indicating that the threats he made on behalf of [redacted] did not serve [redacted] best interest. SA [redacted] advised that [redacted] was not prosecuted in that regard.

b6  
b7C

At approximately 9:30 am, upon arrival at 26 Federal Plaza, background information was completed and inventory taken of KAPLAN's personal belongings.

At approximately 10:00 am, KAPLAN was fingerprinted and photographed at 26 Federal Plaza.

At approximately 10:40 am, SA [redacted] took KAPLAN to the SDNY where he was processed at Pre-trial Services and then the United States Marshal's Services at approximately 12:05 pm.

At approximately 3:00 pm, KAPLAN was afforded a bail hearing and was released upon meeting the conditions of bail.

The following background information obtained through observation and interview:

Name:  
Alias:  
Date of Birth:  
Place of Birth:  
Race:  
Sex:  
Height:  
Weight:  
Eyes:  
Hair:

BURTON KAPLAN  
Burt Kaplan  
October 11, 1933  
Brooklyn, New York  
White  
Male  
5'8"  
160 pounds  
Brown  
Brown, balding

- 1 -

## FEDERAL BUREAU OF INVESTIGATION

Date of transcription

7/27/93

BURTON KAPLAN was arrested pursuant to a warrant issued by Magistrate Judge LEONARD BERNIKOW, Southern District of New York (SDNY), for violation of Title 18, United States Code (USC), Section 371 (Conspiracy to Traffic in Fraudulent Passports), Title 18, USC, Section 1543. The warrant was issued pursuant to a Federal Grand Jury indictment of KAPLAN on this violation. The events of the arrest took place as follows:

At approximately 8:35 am, KAPLAN was observed exiting his residence located at 2147 85th Street, Brooklyn, New York. The reporting Agents identified themselves to KAPLAN at which time he was placed under arrest and taken to a Federal Bureau of Investigation (FBI) vehicle. KAPLAN asked Special Agent (SA) [redacted] the basis for the arrest. SA [redacted] informed KAPLAN of the warrant and indictment. KAPLAN stated that they were "bullshit charges."

b6  
b7c

At approximately 8:40 am, KAPLAN was transferred from one FBI vehicle to another FBI vehicle at the end of the block, and transported to the federal building in Manhattan by SAs [redacted]. KAPLAN was advised of his constitutional rights as read by SA [redacted] from form FD-395. KAPLAN stated that he understood his rights and wished not to make waiver of those rights at that time. KAPLAN was not questioned in regards to these charges, however, he made the following comments, unsolicited by reporting Agents:

KAPLAN again advised that these were "bullshit charges and the FBI could get him dead-to-rights on eighty different things but this bullshit passport matter is legit." KAPLAN indicated that he knew of the informants in this matter and that they had numerous meetings concerning the passports wherein it was stated that it was a legitimate deal. SA [redacted] informed KAPLAN that SA [redacted] will not comment on those statements since he has declined to waive his rights. KAPLAN then advised he understood but just wanted to get that off his chest. KAPLAN asked reporting Agents about Special Agent [redacted] wherein SA [redacted]

SEARCHED	INDEXED <i>AM</i>
SERIALIZED <i>AM</i>	FILED <i>AM</i>

Investigation on 7/14/93 at Brooklyn, New York

File # NY-87D-86783 Sub E-51-148

by SAs [redacted]

MPK/jkl

Date dictated 7/20/93

## Accomplishment Report

(Submit within 30 days from date of accomplishment)

Report Date: 7/8/93

From: SAC, II New York

Does Accomplishment Involve:  
(check all that apply)

- Drugs   
A Fugitive   
Bankruptcy Fraud   
Computer Fraud/Abuse   
Corruption of Public Officials   
Forfeiture Assets

Assisting Agents Soc. Sec. No. X

1. - -   
2. - -

Squad Supervisor approval (please initial)

File Number	
NY 870-86783	
Sub E-51	
Stat Agent Soc. Sec. No.	

RA	Squad
	C-3

Task Force	

Assisting Joint Agencies X	
1.	
2.	

Investigative Assistance or Technique Used	
1 = Used, but did not help	3 = Helped, substantially
2 = Helped, minimally	4 = Absolutely essential
Rating	IAT
	Financ. Analyst Asst.
	Aircraft Assistance
	Computer Assistance
	Consensual Monitor
	ELSUR / FISC
	ELSUR / Title III
	Eng. Sect. Field Supt.
	Eng. Sect. Tape Exams
	Hypnosis Assistance
	Ident Div. Assistance
	Informant Info.
	Lab. Div. Exams
	Lab. Div. Field Supt.
	Pen Registers
	Photograph Coverage
	Polygraph Assistance
	Search Warrants Exec.
	Show Money Usage
	SOG Assistance
	Swat Team Action
Rating	IAT
	Tech. Agt. or Equip.
	Telephone Toll Recs.
	UCO - Group I
	UCO - Group II b6
	UCO - Other b7C
	NCAVC / VI - CAP b7E
	Visual Invest. Anal.
	Crisis Neg. - Fed.
	Crisis Neg. - Local

Accomplishment type \*      Accomplishment Date      Serial Nu. of FD-515

INDICTMENT 7-8-93

## A. Complaint / Information (Indictment)

Also Complete Section I

Serial number \_\_\_\_\_

Check if Civil / Rico Complaint 

## B. Arrest / Locate / Summons

## Arrest

- Federal  Local ♦  
Subject Priority:  A  B  C

- Subject Resisted  
 Subject was Armed

## Locate

- Subject Priority:  A  B  C

## Summons

- Federal  Local ♦

## C. Hostage(s) Released

- Released by:  Terrorists  Other  
Number of Hostages: \_\_\_\_\_

## D. Recovery / Restitution / Potential Economic Loss Prevented (PELP) X

## Recovery

 Local

Code \*      Amount      Code \*      Amount

\$		\$	
\$		\$	
\$		\$	

## E. Civil / Rico Matters

Also Complete Section I

Date of Complaint: \_\_\_\_/\_\_\_\_/\_\_\_\_

Judgment \_\_\_\_\_

Judicial Outcome \_\_\_\_\_ X

Fines: \$ \_\_\_\_\_

Suspension: 

Years	Months

## F. AFA Payment

Amount: \$ \_\_\_\_\_

## G. Administrative Sanctions

- Type:  
 Suspension  
 Debarment  
 Injunction

Length:  
 Permanent  
 Years      Months  

Years	Months

Subject Description Code: \_\_\_\_\_

## H. Final Judicial Process

## Conviction

Also Complete Section I

Date of Complaint, Information or Indictment: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Conviction: \_\_\_\_/\_\_\_\_/\_\_\_\_

Date of Sentence: \_\_\_\_/\_\_\_\_/\_\_\_\_

Subject Description Code: \_\_\_\_\_

Judicial State: \_\_\_\_\_ District: \_\_\_\_\_

Sentence type: \_\_\_\_\_ X

Sentence Term:

In-Jail Years	Suspended Years	Probation Months	Years	Months

Fines: \$ \_\_\_\_\_

- Federal  
 Local ♦

- Felony  
 Misdemeanor

- Plea  
 Trial

## I. U.S. Code Violations

Title	Section	Counts
18	1543	1

Title	Section	Counts

Required for Sections A, E, and H (Conviction)

Optional for Section B (arrest)

## Acquittal / Dismissal / Pretrial Diversion

Date of Indictment or Information: \_\_\_\_\_

Subject Description Code: \_\_\_\_\_

SEARCHED INDEXED SERIALIZED FILED  
7-8-93 1-17-93  
SAC - NY - 200-159-E51  
#47

## J. Subject Information (Required for Sections A, B, D (Restitution), E, F, G, and H)

Name	Date of Birth	Race *	Sex	Place of Birth (if available)	Social Security No. (if available)
BURTON KAPLAN	10/11/33	W	M		NEW YORK

X Subject Related to an LCN, Asian Organized Crime (AOC), or Italian Organized Crime (IOC) Group. (if checked also submit form FD-515a)

\* Additional information may be added by attaching another form or a plain sheet of paper for additional entries.

♦ Requires that a written explanation on plain paper be attached. (Court Ordered Restitution does not require a written explanation)

• See codes on reverse side. (1-870-86783 (Sub E-51) 1-870-86783 (Sub I) 1-66-8492 1-Supr-C-3  
MPK)

7/8/93

Date

Birth  Credit  Criminal  Death  INS  Marriage\*  Motor Vehicle  Other \_\_\_\_\_

To OPC Buded

Ref SA File number C-3 X3233 NY 870-86783 Sub E-  
b6 b7c

Name and aliases of subject, applicant, or employee, and spouse

KAPLAN, BURTON

aka BURT KAPLAN

Addresses  
Residence \_\_\_\_\_

Business \_\_\_\_\_

Former \_\_\_\_\_

\*Date and place of marriage \_\_\_\_\_  
(if applicable) \_\_\_\_\_

Race W	Sex <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	Age 60	Height 5'9	Weight Med	Hair BLK	Eyes
--------	---	--------	------------	------------	----------	------

Birth date 10/11/33	Birthplace 298-NY-259359-E51-146 870-86783 sub E-51-137
---------------------	--

Arrest Number	Fingerprint classification	Criminal specialty
---------------	----------------------------	--------------------

Social Security Number	Drivers License Number	SEARCHED INDEXED SERIALIZED FILED JUL 20 1993 FBI - NEW YORK
------------------------	------------------------	---

Specific information desired CRIMINAL HISTORY	RESULTS OF CHECK
--	------------------

d. Oneal 7/8/93 870-86783 (Sub E-51)

----- PREVIOUS VEHICLES/PLATES/INSURANCE INFO ASSOCIATED WITH THIS RECORD -----  
88 LINCO BLACK 4DSD WEIGHT:003488 FUEL: GAS CYL 06 VIN# 1LNBM9841JY873358  
EXPIRES: 09/28/91 VALID: 09/13/90 INS: 622 - GEN ACC INS CO OF AMER  
  
INS: 622 - GEN ACC INS CO OF AMER ON FILE AS OF 08/04/90  
  
INS: 622 - GEN ACC INS CO OF AMER ON FILE AS OF 09/05/89  
  
INS: 622 - GEN ACC INS CO OF AMER ON FILE AS OF 09/29/88  
----- REGISTRATION ACTIVITIES -----  
REG SUSPENDED ON: 09/16/91 FOR 031 DAYS - REASON: INS. NOT IN EFFECT  
COMPLIANCE DATE: 09/16/91 CLEARED ON: 09/16/91

\*\*\* ENTER NEXT FUNCTION CODE MENU \*\*\*

\*\*\* REGISTRATION RECORD EXPANSION \*\*\* TODAY'S DATE IS 7/08/93

PLATE: HAU175 TYPE: PASSENGER REGISTRANT INFORMATION:  
VIN#: 1LNBM9841JY873358 KAPLAN,BURTON DOB: 10/11/33  
88 LINCO BLACK 40SD WEIGHT:003488  
FUEL: GAS CYL: 06 SEX: M  
EXPIRES: 09/28/91 VALID: 09/13/90 2147 85TH ST COUNTY: KING  
INS: 622 - GEN ACC INS CO OF AMER BROOKLYN NY ZIP: 11214  
MI#: K01574 24647 316523-33

----- PREVIOUS VEHICLES/PLATES/INSURANCE INFO ASSOCIATED WITH THIS RECORD -----

VOLUNTARY PLATE SURRENDER ON: 10/01/91

INSURANCE TERMINATED EFFECTIVE 10/01/91  
FILED BY GA INSURANCE CO OF NY ON 11/08/91

INSURANCE TERMINATED EFFECTIVE 07/05/91  
FILED BY GA INSURANCE CO OF NY ON 07/22/91

INSURANCE TERMINATED EFFECTIVE 10/29/90  
FILED BY GA INSURANCE CO OF NY ON 11/23/90

\*\*\* ENTER NEXT FUNCTION CODE NEXT \*\*\* ( RECORD CONTINUED ON FOLLOWING PAGE )

CONVICTION: PASSED RED LIGHT  
VIOLATION: 04/27/1990 CONVICTED ON: 05/15/1990  
LOCATION: NEW YORK COUNTY, MANHATTAN N. ADM. ADJ.  
PENALTY: FINE- \$50 POINTS: 3  
COMM VEH: UNKNOWN HAZMAT: UNKNOWN

\*\*\* ENTER NEXT FUNCTION CODE MENU \*\*\*

TODAY'S DATE: 7/08/1993 TIME: 21:17:09  
\*RECORD EXPANSION FOR: KAPLAN,BURTON

MI #: K01574 24647 316523-33

KAPLAN,BURTON

2147 85 ST

BROOKLYN NY 11214

RESTRICTIONS: CORRECTIVE LENSES

LICENSE CLASS: \*D\*

CLIENT ID#: 990343807

DOB: 10/11/1933 SEX: M

HEIGHT: 5-9 EYE COLOR: BROWN

COUNTY: KING

STATUS: VALID

EXPIRATION: 10/11/1996

\*\*\*\*\* CONVICTIONS/BAIL FORFEITURES \*\*\*\*\*

CONVICTION: PASSED RED LIGHT

VIOLATION: 10/16/1992 CONVICTED ON: 10/26/1992

LOCATION: KINGS COUNTY, BROOKLYN ADMINISTRATIVE ADJ.

PENALTY: FINE- \$50 POINTS: 3

COMM VEH: UNKNOWN HAZMAT: UNKNOWN

\*\*\* ENTER NEXT FUNCTION CODE NEXT \*\*\* ( RECORD CONTINUED ON FOLLOWING PAGE )

Date 7/8/93

Birth  Credit  Criminal  Death  INS  Marriage\*  Motor Vehicle  Other \_\_\_\_\_

To <i>DPC</i>	Bxded
Re: <i>SA</i>	File number <i>C3</i> <i>NY87D-86983 (Sub E-5)</i>
Name and aliases of subject, applicant, or employee, and spouse <i>KAPLAN, BURTON</i>	

b6

b7c

Addresses

Residence \_\_\_\_\_

*aka BURT KAPLAN*

Business \_\_\_\_\_

Former \_\_\_\_\_

\*Date and place of marriage  
(if applicable) \_\_\_\_\_

Race <i>W</i>	Sex <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female	Age <i>60</i>	Height <i>5'9"</i>	Weight <i>Med</i>	Hair <i>Blk</i>	Eyes <i>PM</i>
------------------	--	------------------	-----------------------	----------------------	--------------------	-------------------

Birth date <i>10/11/33</i>	Birthplace _____
-------------------------------	------------------

Arrest Number _____	Fingerprint classification _____	Criminal specialty _____
---------------------	----------------------------------	--------------------------

Social Security Number _____	Drivers License Number _____
------------------------------	------------------------------

Specific information desired

*DMV - DL info + Desc. of ALL Vehicle Registered to ~~to~~ Subject.*

Results of check

1) MVA  
1) one:  
1) 7/8/93  
1) MPR

870-86783 (Sub E-51) -136  
29B-NY-259350 -E51-145

SEARCHED	INDEXED
SERIALIZED <u>04</u>	FILED <u>04</u>
JUL 20 1993	
FBI - NEW YORK	

## WARRANT FOR ARREST

AUSA

United States District Court

UNITED STATES OF AMERICA

v.

BURTON KAPLAN

WARRANT ISSUED ON THE BASIS OF:

 Indictment     Information Order of Court ComplaintTO: UNITED STATES MARSHAL OR ANY OTHER  
AUTHORIZED OFFICER

DISTRICT

SOUTHERN DISTRICT OF NEW YORK

MAGISTRATE CASE NO.

DOCKET NO.

93 CR 570

NAME AND ADDRESS OF INDIVIDUAL TO BE ARRESTED

BURTON KAPLAN

DISTRICT OF ARREST

CITY

YOU ARE HEREBY COMMANDED to arrest the above-named person and bring that person before the nearest available magistrate to answer to the charge(s) listed below.

## DESCRIPTION OF CHARGES

CONSPIRACY TO TRAFFIC IN FRAUDULENT PASSPORT.

*JAM*

IN VIOLATION OF	UNITED STATES CODE TITLE 18:	SECTION 371; 1543
BAIL FIXED BY COURT	OTHER CONDITIONS OF RELEASE	
ORDERED BY  HON. LEONARD BERNIKOW, MACTSTRATE JUDGE	SIGNATURE (JUDGE)/MAGISTRATE  <i>[Signature]</i>	DATE 7/8/93
CLERK OF COURT  JAMES M. PARKISON	(BY) DEPUTY CLERK  <i>[Signature]</i>	DATE ISSUED 7/13/93
RETURN		

This warrant was received and executed with the arrest of the above-named person.

DATE RECEIVED	NAME AND TITLE OF ARRESTING OFFICER	SIGNATURE OF ARRESTING OFFICER
DATE EXECUTED		

29B-NY-259359-E51-144  
870-86783 (Sub E-51)-135

SEARCHED	INDEXED
SERIALIZED	FILED
JUL 19 1993	
FBI - NEW YORK	

e. On or about September 10, 1991, a co-conspirator flew to Lima, Peru to obtain a fraudulent passport for the defendant BURTON KAPLAN.

f. On or about September 17, 1991, the defendant BURTON KAPLAN received, at his business in Staten Island, New York from, a second fraudulent passport.

g. On or about October 1, 1991, at 1501 Broadway, New York, New York, the defendant BURTON KAPLAN paid approximately \$4700 for the fraudulent passport referred to in Overt Act (f) above.

h. In or about October, 1991, the defendant BURTON KAPLAN purchased a third fraudulently prepared passport.

(Title 18, United States Code, Section 371.)

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FOREPERSON

*Mary Jo White*  
MARY JO WHITE  
United States Attorney

Means and Methods of the Conspiracy

3. The defendant BURTON KAPLAN would and did devise a scheme to obtain thousands of fraudulently prepared passports in order to assist foreign citizens to enter the United States illegally.

4. The defendant BURTON KAPLAN would and did purchase fraudulent passports from co-conspirators not named herein as defendants in furtherance of this illegal scheme.

Overt Acts

5. In furtherance of the conspiracy and to effect the illegal objects thereof, the following overt acts, among others, were committed in the Southern District of New York and elsewhere:

a. In or about mid-1991, the defendant BURTON KAPLAN told a co-conspirator that he wanted to obtain a vast supply of fraudulent passports.

b. On or about June 15, 1991, the defendant BURTON KAPLAN and a co-conspirator from China met with other co-conspirators in Miami Beach, Florida to negotiate the sale of fraudulent passports.

c. In or about the Summer of 1991, the defendant BURTON KAPLAN obtained a fraudulently prepared passport from one of his co-conspirators.

d. In or about the Summer of 1991, at 1501 Broadway, New York, New York, the defendant BURTON KAPLAN had a meeting with co-conspirators.

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

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UNITED STATES OF AMERICA :  
-v- : INDICTMENT  
: 93 Cr.  
BURTON KAPLAN,  
a/k/a "Burt Kaplan," :  
Defendant. :  
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COUNT ONE

The Grand Jury charges:

The Fraudulent Passport Conspiracy

1. From in or about 1991, until and including the date of the filing of this Indictment, in the Southern District of New York and elsewhere, BURTON KAPLAN, a/k/a "Burt Kaplan," the defendant, and others known and unknown to the Grand Jury, unlawfully, wilfully, and knowingly did combine, conspire, confederate and agree together and with each other to commit certain offenses against the United States, to wit, violations of 18 U.S.C. § 1543.

2. It was a part and object of the conspiracy that BURTON KAPLAN, a/k/a "Burt Kaplan," the defendant, and others known and unknown to the Grand Jury, would and did falsely make, forge, mutilate and alter passports and instruments purporting to be passports, with the intent that the same be used, and to furnish those passports to other individuals for their use, in violation of 18 U.S.C. § 1543.